

# Information on the processing of personal data for shareholders, shareholder representatives and guests in connection with the Annual General Meeting of thyssenkrupp nucera AG & Co. KGaA

thyssenkrupp nucera AG & Co. KGaA  
7 February 2024



thyssenkrupp  
**nucera**

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# Your personal data is important to us.

thyssenkrupp nucera AG & Co KGaA ("we", "us", "our") takes the protection of your data very seriously. This data protection information explains how and what data is collected, why it is collected and to whom it is communicated or disclosed. Please therefore read this declaration very carefully.

## 1. Who is the responsible data controller?

### The controller responsible for the processing is:

thyssenkrupp nucera AG & Co. KGaA  
Voßkuhle 38  
44141 Dortmund  
T: +49 231 22972 7100

### You can reach our Data Protection Officer at:

thyssenkrupp nucera AG & Co. KGaA  
The Data Protection Officer  
Voßkuhle 38  
44141 Dortmund  
T: +49 231 22972 7100  
E-mail: [dataprotection@thyssenkrupp-nucera.com](mailto:dataprotection@thyssenkrupp-nucera.com)

## 2. What personal data is collected?

If you register for the Annual General Meeting as a guest or participate in the Annual General Meeting as a service provider or grant a voting proxy, we process the following personal data about you and/or your authorized representative:

- Name
- Contact details (e.g. address, e-mail address)

We process the following personal data of shareholders or their authorized representatives:

- Name, contact details
- Custodian bank
- Share-related data (e.g. number of shares, class of shares, type of ownership of the shares)
- Annual General Meeting-related data (e.g. number of the registration confirmation, access code for registration in the InvestorPortal)
- Image and audio recordings of the persons/speakers in the virtual Annual General Meeting portal

If you use the opportunity to assert your shareholder rights via the InvestorPortal and submit statements in text form, we will process your name and your admission ticket number as well as the content of your contribution on the website (<https://investors.thyssenkrupp-nucera.com/investors/annual-general-meeting/>)

If we do not collect the personal data directly from you, we receive the personal data from the following sources:

- We receive shareholders' personal data from the respective custodian bank;
- We receive the personal data of authorized representatives from the shareholders who grant authorization and from the respective custodian bank;
- We receive personal data of employees of our service providers from the respective service company.

### 3. For what purposes and on what legal basis is data processed?

We collect and use personal data for the following purposes:

- For your participation in the Annual General Meeting
- To fulfil the requirements of stock corporation law (e.g. for the list of participants)
- To enable shareholders to exercise their rights (e.g. to speak and vote)

Video and audio recordings are made for the broadcast of the virtual Annual General Meeting on the Internet.

The legal basis for the processing is the German Stock Corporation Act in conjunction with Art. 6 para. 1 c) of the General Data Protection Regulation (GDPR). In addition, we process personal data to protect the following legitimate interests (Art. 6 para. 1 f) of the GDPR):

- Organization and orderly conduct of the Annual General Meeting over and above the points already mentioned above
- Ensuring the smooth running of the virtual Annual General Meeting
- Defence in legal disputes
- Informing co-shareholders and the public about the Annual General Meeting

thyssenkrupp nucera AG & Co. KGaA broadcasts the virtual Annual General Meeting live on the Internet at the instruction of the chairman of the meeting (based on Section 118 (4) AktG in conjunction with the Articles of Association; Art. 6 (1f) GDPR). No profiling takes place. In addition, there is no targeted transfer of personal data to countries outside the EU; regardless of this, the live broadcast of the Annual General Meeting can generally be viewed worldwide.

### 4. Who receives your data?

Your data will be processed within thyssenkrupp nucera AG & Co. KGaA by the employees involved in the organization of the Annual General Meeting.

Within our company, your data may be transferred to certain companies if they perform centralized data processing tasks for the affiliated companies in the group.

In addition, to fulfil our contractual and legal obligations, we sometimes use various external service providers who are bound by data protection law through data processing agreements, Art. 4 No. 8 GDPR.

Personal data is therefore disclosed to technical service providers (in particular Computershare Deutschland GmbH & Co. KG) to the extent necessary.

In addition, we may transfer personal data to other recipients outside the company who process your data under their own responsibility, Art. 4 No. 7 GDPR:

- Public bodies due to legal regulations (e.g. supervisory authorities)

### 5. What rights do you have with regard to your personal data?

Within the framework of the applicable legal provisions you have the right to:

- Information about the personal data processed about you
- Information about the origin of the data, the purpose and end of the processing, the details of the controller, the processor and the parties to whom the data is disclosed
- Rectification of your personal data and completion of incomplete personal data
- Erasure of your personal data if it is no longer required to fulfil the above-mentioned purposes
- Objection to the processing of your personal data, explained in more detail at the end of this section
- Complaint to us and/or the competent data protection authority
- Receiving your data in a structured, commonly used and machine-readable format, provided that this does not adversely affect the rights and freedoms of others.

You also have the option of lodging a complaint with a data protection supervisory authority. The right to lodge a complaint is without prejudice to any other administrative or judicial remedy.

#### **Information about your right to object in accordance with Art. 21 GDPR**

For reasons that arise from particular situation, you have the right to object to the processing of your personal data at any time pursuant to Article 6(1)(f) of the GDPR (data processing on the basis of a balancing of interests).

If you file your objection, we will no longer process your personal data unless we can establish compelling legitimate grounds for the processing which outweigh your interests, rights and freedoms, or the processing is for the purposes of asserting, exercising or defending legal claims.

To exercise your rights, please contact us using the contact details provided under point 1.

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## 6. Am I obliged to provide the requested personal data?

Yes, to participate in the Annual General Meeting and to fulfil the requirements of stock corporation law and to enable the exercise of shareholder rights. Without the provision of personal data, it may not be possible to participate in the Annual General Meeting or exercise shareholder rights.

## 7. How long do we store your personal data?

In principle, your personal data will be deleted or anonymized as soon as it is no longer required for the above-mentioned purposes and we are not obliged by law to continue storing it. We regularly store your personal data collected in connection with the Annual General Meeting for up to 10 years. In addition, we only store personal data if this is necessary in connection with claims asserted against the company (statutory limitation period of up to 30 years). In principle, your personal data will be deleted or anonymized as soon as it is no longer required for the above-mentioned purposes and we are not obliged by law to continue storing it.

Only the opening of the Annual General Meeting by the Chairman of the Meeting and the speech by the Chairman of the Executive Board are also available as a recording on the Internet after the Annual General Meeting.

thyssenkrupp nucera AG & Co. KGaA

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44141 Dortmund

[www.thyssenkrupp-nucera.com](http://www.thyssenkrupp-nucera.com)

We shape the new era.